

# DECLARATION OF U.S. STATUS

<b>PART 1: INDIVIDUALS ONLY</b>	
CUSTOMER NAME:	RIM#
Do you have a Green Card or U.S. Passport? <span style="float: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span>	
If 'Yes' to this question, please provide your U.S. Tax Identification Number (TIN): _____	
<b>Instructions:</b>	
<ul style="list-style-type: none"> <li>– If 'Yes' to the above, please tick the <b>U.S. Person/Entity Declaration box</b>, read the <b>Terms and Conditions</b> and <b>sign</b> below</li> <li>– If 'No' to the above, please tick the <b>Non-U.S. Person/Entity Declaration box</b>, read the <b>Terms and Conditions</b> and <b>sign</b> below</li> </ul>	

<b>PART 2: ENTITIES ONLY</b> (Sole Traders, Partnerships, Company/Registered Businesses, Clubs/Societies/Associations/Non-Governmental Organizations/Non Profit Organizations/Charities/Trusts)		
CUSTOMER NAME:	RIM#	
1. Is the Entity incorporated under U.S. law? <span style="float: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span>		
If 'Yes', please provide the U.S. Taxpayer Identification Number (TIN): _____		
2. Is the Entity a Non-U.S. Entity with substantial U.S. owners, i.e. shareholders with shareholdings of 10% or more? <span style="float: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span>		
3. Is the Entity a Passive NFFE, i.e. a Non-Financial Foreign Entity with 50% or more of its gross income from the preceding calendar year, earned from investment-type proceeds (e.g. dividends, interest, annuities) <b>AND</b> with U.S. shareholders with shareholdings of 10% or more? <span style="float: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span>		
4. Is the Entity a Non-Participating Foreign Financial Institution i.e. A Foreign Financial Institution that has not entered into an agreement with the IRS <span style="float: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</span>		
<b>Instructions:</b>		
<ul style="list-style-type: none"> <li>– If 'Yes' to question 1, please tick the <b>U.S. Person/Entity Declaration box</b>, read the <b>Terms and Conditions</b> and <b>sign</b> below</li> <li>– If 'Yes' to questions 2 and/or 3:                             <ol style="list-style-type: none"> <li>1. Complete the <b>table</b> below</li> <li>2. Tick the <b>U.S. Person/Entity Declaration box</b></li> <li>3. Read the <b>Terms and Conditions</b></li> <li>4. <b>Sign</b> below</li> </ol> </li> <li>– If 'No' to all questions in this section, or 'Yes' to question 4, please tick the <b>Non-U.S. Person/Entity Declaration box</b>, read the <b>Terms and Conditions</b> and <b>sign</b> below</li> </ul>		
Please provide information on the <b>substantial U.S. owners, i.e. shareholders with shareholdings of 10% or more</b> , in the table below:		
<b>Name of Shareholder with ≥10% Shareholding</b>	<b>Tax Identification Number</b>	<b>Address</b>

## NON-U.S. PERSON/ENTITY DECLARATION

I/we hereby declare that the above-named person/entity is **NOT** a U.S. person/entity.

## U.S. PERSON/ENTITY DECLARATION

I/we hereby declare that the above-named person/entity is a U.S. person/entity.

### Terms and Conditions:

*In the course of my/our contractual relationship with Republic Bank, I/we will inform Republic Bank immediately of any changes relating to my/our U.S. status, as certified herein. If I/we should become a U.S. person/entity under the tax laws of the United States, I/we will inform Republic Bank of all relevant changes in this regard, (e.g. change of address, etc.).*

*I/we acknowledge that the U.S.-related assets, if any, held by Republic Bank or any entity or relationship in any account for or on my/our behalf, as well as the income on these accounts, may become subject to tax or other duties in the United States. I/we confirm all taxes, duties and other financial obligations relating to the account will be met. I/we hereby waive my/our right to any claim against Republic Bank for any losses and/or other costs resulting from or relating to any tax liability in the United States.*

*I am/we are solely responsible for providing accurate information to Republic Bank, to certify my/our U.S. or Non-U.S. status. I/we understand that I am/we are liable under U.S. law, if inaccurate information is provided.*

*If the information in this form is not supported by the information held by Republic Bank, I/we will be asked to re-submit this form.*

**Please note** that under U.S. Law, Republic Bank, its staff, agents or officers **cannot** provide any U.S. tax or other advice as to whether you are/are not a U.S. person/entity based on the responses provided in this Declaration Form.

Please tick this box as having read and agreed to the above Terms and Conditions.

<input type="checkbox"/> <b>Personal Customer</b>		
Name:	Signature:	Date:
<input type="checkbox"/> <b>Business/Entity</b> - To be signed by an authorized Signatory, Senior Officer or Director of the Entity, Partner if Partnership, Trustee if Trust, Executor/Administrator if estate.		
Name:	Signature:	Date:

<b>Company Stamp:</b>